



# Connected Consumer Products

*Release 1.1, December 2017*

## Secure Design Best Practice Guidelines



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# Contents

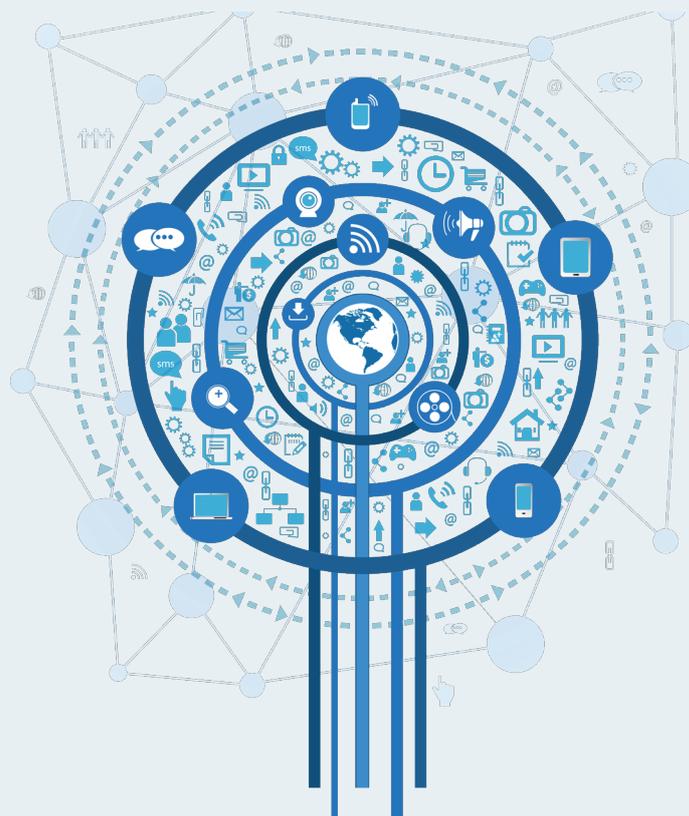
The following lists the security topic areas in the IoTSF Secure Design Best Practice Guidelines.

Each topic area has an assigned letter for easy reference. It also indicates they collectively form a set of Guidelines that should be acted on as a whole. However no specific order of reading or action is intended.

Each topic area must be studied, understood and every security item implemented where possible. These security items should be documented to form part of the overall security design of the product. Any item that cannot be implemented for good technical or business reasons must also be documented in the design.

More details about every security topic area can be found on the [IoTSF website](#).

- EXECUTIVE SUMMARY.....5
- A - CLASSIFICATION OF DATA.....6
- B - PHYSICAL SECURITY.....7
- C - DEVICE SECURE BOOT.....8
- D - SECURE OPERATING SYSTEM.....9
- E - APPLICATION SECURITY.....10
- F - CREDENTIAL MANAGEMENT.....11
- G - ENCRYPTION.....12
- H - NETWORK CONNECTIONS.....13
- J - SECURING SOFTWARE UPDATES.....14
- K - LOGGING.....15
- L - SOFTWARE UPDATE POLICY.....16



## Executive Summary

This release of the Best Practice Guidelines relates to connected consumer products for use in the home, although the general principles will apply in all market areas.

'Internet of Things' (IoT) devices fall into three main categories:

- Sensors, which gather data
- Actuators, which effect actions
- Gateways, which act as communication hubs and may also implement some automation logic.

All these device types may stand alone or be embedded in a larger product. They may also be complemented by a web application or mobile device app and cloud-based service.

IoT devices, services and software, and the communication channels that connect them, are at risk of attack by a variety of malicious parties, from bedroom hackers to professional criminals or even state actors. Possible consequences to consumers of such an attack could include:

- Inconvenience and irritation
- Infringement of privacy
- Loss of life, money, time, property, health, relationships, etc.

For vendors, operators and suppliers, potential consequences may include loss of trust, damage to reputation, compromised intellectual property, financial loss and possible prosecution.

Malicious intent commonly takes advantage of poor design, but even unintentional leakage of data can also bring dire consequences to consumers and vendors, due to ineffective security controls. Thus it is vital that IoT devices and services have security designed in from the outset.

The IoT Security Foundation's Best Practice Guides provide concise essential advice on 'things to do' to help secure IoT products and systems (but we leave the designer to decide how best to do it). Links are provided to further information and discussion. The Guides are intended to be used in conjunction with the Foundation's certification scheme.

IoT device types cover a vast range from simple one chip, low power, basic functionality devices, up to complex mains powered multi-function units. Devices at the low end of the range in particular may have security features constrained by cost, available processing power and performance, size, type of power source etc. These Guidelines aim to highlight basic principles of good practice, while recognising that many devices are not able to satisfy every requirement due to their real-world constraints. In such cases designers must consider the trade-off between the constraints and the risks, and document the implications for where and how the device may be used if a downgrade in security results.

Although primarily aimed at IoT designers and developers, there is information for consumers, and the IoTSF hopes the Guidelines will also empower other parties in the supply chain to ask the right questions.

[IoT Security Compliance Checklist](#)

# A:

## CLASSIFICATION OF DATA

The term “data” is broad and can include functional data, data about people, collections of data and vendors’ intellectual property. The degree of protection required against unauthorized viewing, changing or deletion of data depends on the sensitivity of that data. A “data classification scheme” defines a number of classes or levels of sensitivity for data and is key to its protection. Classifying data according to the scheme means the right level of security can be identified and applied, commensurate with the nature of the data being processed. Data classification will also help ensure compliance with legal regulations.

**Be aware that different countries have differing laws around what constitutes ‘sensitive data’, where data can be held and how it must be protected in storage and transit.**

1. Define a data classification scheme and document it.
2. Assess every item of data stored, processed, transmitted or received by a device and apply a data classification rating to it. Take into account that collections of data may be more sensitive than individual items and so may be classified differently.
3. Ensure the security design protects every data item and collections of items against unauthorized viewing, changing or deletion, to at least its classification rating or higher.
4. When documenting the security design, also document the data items, their classification and the security design features that protect them.

Further discussion on classification of data can be found [here](#).

Resources on how to classify & handle data are listed below:

- [Government Security Classifications](#)
- [Secure State Blog](#)
- [SANS Information Classification - Who, Why and How](#)

## B:

# PHYSICAL SECURITY

IoT devices are often deployed in locations that can be accessed easily for extended periods of time. This makes them liable to physical damage, tampering with switches and making connections to management, debugging and test ports. Side-channel attacks may allow the extraction of encryption keys or other data by monitoring power consumption, temperature fluctuations or electromagnetic emissions etc. Devices in the supply chain are also at risk.

Production devices can be protected against physical access to data and intellectual property by physically barring access and removing all means of unwanted connection.

1. Any interface used for administration or test purposes during development should be removed from a production device, disabled or made physically inaccessible.
2. All test access points on production units must be disabled or locked, for example by blowing on-chip fuses to disable JTAG.
3. If a production device must have an administration port, ensure it has effective access controls, e.g. strong credential management, restricted ports, secure protocols etc.
4. Make the device circuitry physically inaccessible to tampering, e.g. epoxy chips to circuit board, resin encapsulation, hiding data and address lines under these components etc.
5. Provide secure protective casing and mounting options for deployment of devices in exposed locations.
6. To identify and deter access within the supply chain, consider making the device and packaging “tamper evident”.
7. For high-security deployments, consider design measures such as active masking or shielding to protect against side-channel attacks.

Further discussion on physical protection can be found [here](#).

Resources on how to apply physical security are listed below:

- [Hardware-Oriented Security](#)
- [Securing Hardware for Embedded Systems \(1\)](#)
- [Securing Hardware for Embedded Systems \(2\)](#)

## C:

# DEVICE SECURE BOOT

The security of everything a device does (post-boot) depends on executing a trusted boot sequence. A staged boot sequence, where every stage is checked for validity before initialising, minimises the risk of rogue code being run at boot time. Having a fully assured first boot stage is vital to ensuring the following stages can be trusted.

However, having multiple stages in any activity increases the chances of something going wrong. The particular technical characteristics of a given deployment design will help determine a minimal set of boot stages, each of which must be assured before proceeding to the next stage.

1. Use a multi-stage bootloader initiated by a minimal amount of locked code (for example locked into one-time programmable memory).
2. Use a Secure Access Module (SAM) or Trusted Platform Module (TPM) to perform trusted cryptographic functions and store crucial data items. Its limited secure storage capability will hold a locked, trusted first stage of the bootloader and encryption keys.
3. At boot time check each stage of boot code is valid & trusted before running that code.
4. At each stage of the boot sequence check that only the expected hardware is present and functioning correctly.
5. Do not boot the next stage of device functionality until the previous stage has been successfully booted.
6. Ensure failures at any stage of the boot sequence fail securely, to ensure no unauthorised access is gained to underlying systems, code or data (for example, via a uboot prompt).

Further discussion on secure booting can be found [here](#).

Resources on how to boot securely are listed below:

- [Securing the IoT: Part 1](#)
- [Securing the IoT: Part 2](#)

# D:

## SECURE OPERATING SYSTEM

There are many ways in which a threat agent can infiltrate an operating system. 'Hardening' the operating system helps protect against this by using the latest software, removing all unnecessary access rights and functions, and limiting visibility of the system.

1. Include in the operating system (OS) **only** those components (libraries, modules, packages etc.) that are required to support the functions of the device.
2. Shipment should include the latest stable OS component versions available.
3. Devices should be designed and shipped with the most secure configuration in place. A decision to reduce security must be a justified and documented decision made downstream from shipment if absolutely necessary.
4. Ensure the OS is securely booted.
5. Continue to update (thoroughly tested) OS components to the latest stable versions throughout the lifetime of a deployed device.
6. Disable all ports, protocols and services that are not used.
7. Set permissions so users/applications cannot write to the root file system.
8. If required, accounts for ordinary users/applications must have minimum access rights to perform the necessary functions. Separate administrator accounts (if required) will have greater rights of access. Do not run anything as root unless genuinely unavoidable.
9. Ensure all files and directories are given the minimum access rights to perform the required functions.
10. Consider implementing an encrypted file system.
11. Document the security configuration of the OS.
12. Use proper Change Control methods to manage changes to the OS.

Further discussion on securing operating systems can be found [here](#).

Resources on how to secure operating systems are listed below:

- [NIST Guide to General Server Security](#)
- [OWASP Internet of Things Project](#)

# E:

## APPLICATION SECURITY

Whether using software developed in-house, or 3rd party applications, good software design practices must be followed. Security must be designed in from the outset and not added on as an afterthought. A documented security design ensures subsequent issues can be more readily addressed.

1. Sanitise and validate all data input before processing the data.
2. Applications must not run as root - use the minimum privileges necessary.
3. Remove all default user accounts and passwords.
4. Never hard code credentials into an application. Credentials must be stored separately in secure trusted storage and be updateable in a way that ensures security is maintained.
5. Ensure all errors are handled gracefully and don't reveal underlying architectural details.
6. Never deploy debug versions of code. The distribution should not include compilers, files containing developer comments, sample code, or other superfluous files.
7. Ensure applications and users can only access data to which they are entitled.
8. Ensure users can only access application functions appropriate to their access rights.
9. Use the most recent stable version of libraries.
10. Ensure compliance with in-country data processing regulations.
11. Ensure 3<sup>rd</sup> party application software and libraries, whether off-the-shelf or specifically developed, follow these security guidelines wherever possible.
12. Document the security design of applications.
13. Use secure software development lifecycle best practice techniques, such as secure source code storage and traceability, code reviews, code analysis tools etc.

Further discussion on securing applications can be found [here](#).

Resources on how to secure applications are listed below:

- [NIST Guide to General Server Security](#)
- [OWASP Security Testing Cheat Sheet](#)
- [SANS Web Application Security Checklist](#)
- [OWASP Data Validation](#)
- [OWASP Developer Guide](#)
- [Trustworthy Software Framework](#)

## F:

# CREDENTIAL MANAGEMENT

'Credentials' are evidence of the identities of people or other entities. They can take many forms and are used to control access to data or enable secure communications. Compromised credentials are the easiest way to gain unauthorised access to data or services. Passwords, encryption keys, digital certificates and other credential data must always be handled securely and updated periodically, otherwise they can become ineffective.

1. A device should be uniquely identifiable by means of a factory-set tamperproof hardware identifier if possible.
2. Use good password management techniques, for example no blank or simple passwords allowed, permit non-alphanumerics (e.g. + or \*) as well as letters and digits, never send passwords across a network (wired or wireless) in clear text, and employ a secure password reset process.
3. Each password stored for authenticating credentials must use an industry standard hash function, along with a unique salt value that is not obvious (for example, not a username).
4. Passwords stored for use as credentials must be strongly encrypted, using an industry standard algorithm.
5. Store credentials or encryption keys in a Secure Access Module (SAM), Trusted Platform Module (TPM), Hardware Security Module (HSM) or trusted key store if possible.
6. Aim to use 2-factor authentication for accessing sensitive data if possible.
7. Ensure a trusted & reliable time source is available where authentication methods require this, e.g. for digital certificates.
8. Digital certificates should not be used once and then forgotten, as they require careful management as part of an effective secure credential solution. Further discussion on certificates and their management is available at the link below\*.
9. Every certificate must be unique and therefore only exist on one device. Do not copy digital certificates across multiple devices.
10. There must be a secure reliable means to update a digital certificate and its certificate chain on a device before it expires.

\* Further discussion on good credential management can be found [here](#).

Resources on managing credentials are listed below:

- [How To Store Passwords Safely](#)
- [Key Management Cheat Sheet](#)
- [An Overview of Digital Certificates](#)

# G:

# ENCRYPTION

Always use the strongest encryption algorithm available and only downgrade from that if absolutely necessary. Typically any data attributable to an individual must be encrypted to ensure privacy and comply with data protection regulations. Any management data must be encrypted to protect the integrity and availability of the service.

**Be aware there are strict laws in some countries around the use and export of encryption.**

1. Apply the appropriate level of encryption commensurate with the classification of data being processed.
2. Use industry standard cypher suites, use the strongest algorithms and always use the most recent version of an encryption protocol.
3. When configuring a secure connection, if an encryption protocol offers a negotiable selection of algorithms, remove weaker options so they cannot be selected for use in a downgrade attack.
4. Store encryption keys in a Secure Access Module (SAM), Trusted Platform Module (TPM), Hardware Security Module (HSM) or trusted key store if possible.
5. Do not use insecure protocols, e.g. FTP, Telnet.
6. It should be possible to securely replace encryption keys remotely.
7. If implementing public/private key cryptography, use unique keys per device, avoid using global keys. A device's private key should be generated by that device or supplied by an associated secure credential solution, e.g. smart card. It should remain on that device (or associated solution) and never be shared or visible to elsewhere. Conversely a device's public key may be shared elsewhere to support encryption with this device.

Further discussion on encryption can be found [here](#).

Resources on encryption are listed below:

- [OWASP Guide to Cryptography](#)
- [An Overview of Cryptography](#)
- [Understanding the 3 Main Types of Encryption](#)
- [Cryptography Just For Beginners](#)

# H:

## NETWORK CONNECTIONS

A device connects with the rest of the world through network connections made over one or more network interfaces. It is vital to protect these points of access, limiting possible routes into the device to the bare minimum. It is also best practice to ensure the device only makes connections it specifically requires to function and that any sensitive data (e.g. keys, personal data, passwords) exchanged over those connections are kept secret.

1. Activate only those network interfaces that are required (wired, wireless - including Bluetooth etc.).
2. Run only those services on the network that are required.
3. Open up only those network ports that are required.
4. Run a correctly configured software firewall on the device if possible.
5. Always use secure protocols, e.g. HTTPS, SFTP.
6. Never exchange credentials in clear text or over weak solutions such as HTTP Basic Authentication.
7. Authenticate every incoming connection to ensure it comes from a legitimate source.
8. Authenticate the destination before sending sensitive data.

Further discussion on securing network interfaces can be found [here](#).

Resources on securing network connections are listed below:

- [Security-Enhanced Linux](#)
- [Certificate and Public Key Pinning](#)
- [Transport Layer Protection Cheat Sheet](#)



# SECURING SOFTWARE UPDATES

Devices contain a variety of programmable entities, including “software”, “firmware”, “fpga configuration”, “FLASH data”, etc. A software update (sometimes referred to as ‘patch’) provides a means to fix functional bugs and security vulnerabilities in a device.

Ideally every device should have software updates remotely managed and installed by the vendor. An alternative approach is for the vendor to publish software updates for users to download and install themselves. Users should only obtain updates from a trusted source, such as the vendor’s product website.

1. After thorough testing, encrypt updates to hinder reverse engineering and then test that encrypted versions work as expected.
2. For every new software update, validate that the update process is successful for all previous versions. As an example, an update to version 4.0 may need to ensure devices currently installed with a version less than v2.2 firstly update to version 2.2, and only after that update to v4.0.
3. A ‘fail back’ function should be in place that will automatically revert to the previous known good installation in the event an update fails.
4. Implement a secure update process based on best practice cryptographic frameworks by using:
  - a. Secure identities for ownership authorisation and device recovery.
  - b. Mutual authentication of the device and server providing the update, prior to transferring software.
  - c. A secure communication channel to transfer the software update to each device.
  - d. Cryptographic identifiers, code signing and encryption to authenticate software updates.
  - e. Integrity checking of the downloaded software by the device before commencing an update.
5. Ensure software updates installed during a device re-boot are integrity checked as part of the secure boot process - (see Best Practice Guide C: Device Secure Boot).

Further discussion on updating software can be found [here](#).

Resources on software updates are listed below:

- [Sensor Network Software Update Management](#)
- [Introduction to Code Signing](#)
- [What is Code Signing?](#)

# K:

# LOGGING

Event logging is vital for aiding fault and security management, and must be reliable, accessible and most likely confidential too. The integrity of logs also needs to be protected, e.g. against attackers seeking to cover their tracks. Simple battery-powered IoT devices have limited resources and may have to send events to a local hub for logging there or forward to a central log management facility. Logs are only of value if the information they contain is examined and acted upon. They should be monitored and analysed regularly to detect potential and actual faults, security breaches and to investigate incidents retrospectively.

1. Run the logging function as a separate process on the operating system from other functional activities.
2. Store log files in their own separate partition from other system files.
3. Set log file maximum size and rotate logs.
4. Where logging capacity is limited, just log start-up and shutdown parameters, login/access attempts and anything unexpected.
5. Restrict access rights to log files to the minimum required to function.
6. If logging to a central repository, send log data over a secure channel if the logs carry sensitive data and/or protection against tampering of logs must be assured.
7. Implement log 'levels' so that lightweight logging can be the standard approach, but with the option to run more detailed logging when required.
8. Monitor and analyse logs regularly to extract valuable information and insight.
9. Synchronise to an accurate time source, where possible, so log file time stamps can be easily correlated.
10. Passwords should not ever be displayed in logs.

Further discussion on logging can be found [here](#).

Resources on logging are listed below:

- [NIST Guide to Computer Security Log Management](#)
- [OWASP Logging Cheat Sheet](#)
- [Creating a Secure Linux Logging System](#)



# SOFTWARE UPDATE POLICY

It is essential that processes and mechanisms for updating software are robust, reliable and secure. If devices are not updated, their security is likely to decrease over time as new attacks & vulnerabilities emerge. However IoT devices are often constrained by limited resources, be it energy (button battery), computational, communication (low bandwidth) or cryptographic etc. This can limit the timeliness or size of software updates, or can even prevent being able to apply updates at all. In the latter case, very careful consideration must be given to the security implications of deploying such devices.

Both system builders and users must have a policy about updating software on devices in the field, addressing:

1. Management of all connected devices over their complete device lifecycle, including:
  - a. Maintaining an active manifest of devices integrated into the user's organisation.
  - b. Actively maintaining version information about software deployed on devices.
  - c. Processes for planned device updating and rapid deployment of critical updates.
  - d. Identification of unfixable or non-updateable devices that have known attack vectors, and processes to ensure that such devices are prevented from compromising the security of the system, for example through device revocation or some other reliable method.
  - e. Securely managing devices at their end of life.
2. A clear, publicised, process for managing software errata. This process must enable developers, users and security researchers to report security vulnerabilities and other issues, and must enable rapid communication to users. It should also:
  - a. Define a process for identifying affected configurations.
  - b. Define the circumstances that require a software update to be developed and released.
  - c. Define the urgency of releasing an update, based on the potential impact of the threat to the user, vendor and other users of the network, and impact to the user of deploying the update.
  - d. Define the procedure for updating software on devices.
  - e. Identify clear ownership and escalation processes within the organisation.
3. Mechanisms for software updates must be clearly defined within the software architecture.
4. The policy must recognise that existing standards for software patching, such as NIST SP800-40, may well need to be adapted for updating software on IoT systems.

Further discussion on logging can be found [here](#).

Resources on logging are listed below:

- [Management of Constrained Devices \(1\)](#)
- [Management of Constrained Devices \(2\)](#)
- [Patch Management Methodology](#)
- [Guide to Enterprise Patch Management Technologies](#)
- [Online Trust Alliance IoT Upgradability and Patching](#)



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